

**USA PATRIOT Act Certification  
WELLS FARGO BANK, N.A.**



A Wells Fargo & Co. Company

**CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS**

*The information contained in this Certification is delivered pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

- A. The undersigned financial institution, **Wells Fargo Bank, N.A.**, on behalf of each of its foreign branches, subsidiaries and affiliates listed on Attachment A hereto (individually referred to as "Foreign Bank", and collectively as "Foreign Banks") hereby certifies as follows:
- B. **Correspondent Accounts Covered by this Certification**  
This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.
- C. **Physical Presence/Regulated Affiliate Status**  
Table 1 in Attachment A indicates the countries where each Foreign Bank maintains a physical presence. Table 2 in Attachment A indicates the countries where Foreign Bank does not maintain a physical presence but is a regulated affiliate.

**[X] Foreign Bank maintains a physical presence in the country**

This means that Foreign Bank listed in Table 1 of Attachment A:

- has a place of business at the street address indicated where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities
- is authorized to conduct banking activities in the country where its place of business is located
- is subject to inspection by the Banking Authority identified that licensed the particular Foreign Bank to conduct banking activities

**[X] Foreign Bank does not have a physical presence in the country, but Foreign Bank is a regulated affiliate**

This means that each Foreign Bank listed in Table 2 of Attachment A:

- is an affiliate of Wells Fargo Bank, N.A., a depository institution organized and existing under the laws of the United States of America, that maintains a physical presence at 420 Montgomery Street, San Francisco, CA 94104, USA (Wells Fargo Bank, N.A.) where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities
- the above address is in the United States of America where Wells Fargo Bank, N.A., the depository institution, is authorized to conduct banking activities
- is subject to supervision by the Board of Governors of the Federal Reserve System or the Office of the Comptroller of the Currency, the same banking authorities that regulate Wells Fargo Bank, N.A.

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**D. Indirect Use of Correspondent Accounts**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information**

Foreign Bank is owned directly or indirectly by Wells Fargo & Company, a financial holding company, whose shares are publicly traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)), located at 420 Montgomery Street, San Francisco, California 94104, USA.

**F. Process Agent**

Wells Fargo Bank, N.A. is a resident of the United States and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code at the following street address:

Wells Fargo Bank, N.A.  
45 Fremont Street, 27th Floor  
San Francisco, CA 94104  
USA  
Attn: General Counsel

**G. General**

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change. Any Covered Financial Institution using this certification should check the wells Fargo.com web site periodically to obtain updated certified information. Foreign Bank does not undertake to otherwise give notice to any Covered Financial Institution of any change in Foreign Bank's certification.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

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I, James R. Richards, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.



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James R. Richards  
Executive Vice President  
Corporate BSA Compliance Officer  
Head of Financial Crimes Risk Management  
Wells Fargo & Company

Executed on this 8<sup>th</sup> day of July 2016  
San Francisco, California, USA

Received and reviewed by:

Name: \_\_\_\_\_

Title: \_\_\_\_\_

For: \_\_\_\_\_ (Name of Covered Financial Institution)

Date: \_\_\_\_\_

July 8, 2016

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**Attachment A – Table 1**

FOREIGN BRANCHES, SUBSIDIARIES, AND AFFILIATES THAT MAINTAIN A PHYSICAL PRESENCE

Name	Address	Country	Ownership	Governing Authority
Wells Fargo Bank International	An der Hauptwache 7, 60313 Frankfurt am Main, Frankfurt	Germany	Wells Fargo Bank International is a 100% owned, indirect subsidiary of Wells Fargo Bank, N.A., which is ultimately 100% owned directly and indirectly by Wells Fargo & Company	Central Bank of Ireland  Bundesanstalt für Finanzdienstleistungs aufsicht
Wells Fargo Bank International	2 Harbourmaster Place, International Financial Services Centre, Dublin 1, Ireland	Ireland, Republic of	Wells Fargo Bank International is a 100% owned, indirect subsidiary of Wells Fargo Bank, N.A., which is ultimately 100% owned directly and indirectly by Wells Fargo & Company	Central Bank of Ireland
Wells Fargo Bank International	One Plantation Place, 30 Fenchurch St., London, EC3M 3BD, United Kingdom	United Kingdom	Wells Fargo Bank International is a 100% owned, indirect subsidiary of Wells Fargo Bank, N.A., which is ultimately 100% owned directly and indirectly by Wells Fargo & Company	Central Bank of Ireland  Financial Conduct Authority  Prudential Regulation Authority
Wells Fargo Bank, N.A.	40 King Street West Suite 3200 Toronto, ON M5H 3Y2	Canada	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Office of the Superintendent of Financial Institutions of Canada
Wells Fargo Bank, N.A.	Unit 30 32/F Shanghai World Finance Center, 100 Century Avenue Pudong, Shanghai, China 200120	China	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	China Banking Regulatory Commission
Wells Fargo Bank, N.A.	Three Pacific Place, 27 <sup>th</sup> floor, 1 Queens Road East, Hong Kong, PRC	Hong Kong, Special Administrative Region China	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Hong Kong Monetary Authority

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Wells Fargo Bank, N.A.	Marunouchi Trust Tower, 1-8-3 Marunouchi, 24 <sup>th</sup> floor, Chiyoda-ku, Tokyo 100-0005	Japan	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Services Agency
Wells Fargo Bank, N.A.	10F Hancorn Building, 16, Namdaemun-ro 7 gil, Jung-gu, Seoul, 04533, Korea	Korea	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Supervisory Service
Wells Fargo Bank, N.A.	80 Raffles Place, #26-20/21, UOB Plaza 2, Singapore 048624	Singapore	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Monetary Authority of Singapore
Wells Fargo Bank, N.A.	17F, 11F/A, 12F/B, 44 Chung Shan North Road, Section 2, Taipei, 10448	Taiwan	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Taiwan Financial Supervisory Commission
Wells Fargo Bank, N.A.	111-115, Level 1, Gate Village 5, Dubai International Financial Centre, Dubai, 53089, United Arab Emirates	United Arab Emirates	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Dubai Financial Services Authority
Wells Fargo Bank, N.A.	One Plantation Place, 30 Fenchurch St., London EC3M 3BD	United Kingdom	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Conduct Authority Prudential Regulation Authority
Wells Fargo Bank, N.A.	Unit 721-723, 7/F, Windland International Finance Centre, No. 7, Finance Street, Xicheng District, Beijing 100033, People's Republic of China	People's Republic of China	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	China Banking Regulatory Commission (CBRC)

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**Attachment A – Table 2**

FOREIGN BRANCHES, SUBSIDIARIES AND AFFILIATES **WITHOUT** A PHYSICAL PRESENCE  
(REGULATED AFFILIATE)

Name	Address	Country	Ownership	Governing Authority
Wells Fargo Bank, N.A.	Harbour Place, 5th Floor, 103 South Church Street, George Town, Grand Cayman KY1-1108, Cayman Islands	Cayman Islands, BWI	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Cayman Islands Monetary Authority