

USA PATRIOT Act Certification

Wells Fargo Bank, N.A.

Certification Regarding Correspondent Accounts for Foreign Banks

The Wells Fargo logo, consisting of the words "WELLS" and "FARGO" stacked vertically in a gold, serif font, set against a dark red square background.

The information contained in this Certification is delivered pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107- 56).

A. The undersigned financial institution, **Wells Fargo Bank, N.A.**, on behalf of each of its foreign branches, subsidiaries and affiliates listed on Attachment 1 and 2 hereto (individually referred to as “Foreign Bank”, and collectively as “Foreign Banks”) hereby certifies as follows:

B. Correspondent Accounts Covered by this Certification

This Certification applies to **all** accounts established for Foreign Banks by Covered Financial Institutions as defined in 31 CFR §1010.605(e).

C. Physical Presence/Regulated Affiliate Status

Each Foreign Bank listed in Attachment 1 maintains a physical presence in the country specified in Attachment 1. This means that each such Foreign Bank listed in Attachment 1:

- has a place of business at the street address indicated where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities
- is authorized to conduct banking activities in the country where its place of business is located
- is subject to inspection by the Banking Authority identified that licensed the particular Foreign Bank to conduct banking activities

The Foreign Bank listed in Attachment 2 does not have a physical presence in the country, but is a **regulated branch**. This means that the Foreign Bank listed in Attachment 2:

- is a branch of Wells Fargo Bank, N.A., a depository institution organized and existing under the laws of the United States of America, maintaining a physical presence at 420 Montgomery Street, San Francisco, CA 94104, USA where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities
- the above address is in the United States of America where Wells Fargo Bank, N.A., the depository institution, is authorized to conduct banking activities
- is subject to supervision by the Board of Governors of the Federal Reserve System or the Office of the Comptroller of the Currency, the same banking authorities that regulate Wells Fargo Bank, N.A.

D. Indirect Use of Correspondent Accounts

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Banks hereby certify that they do not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information

The Foreign Banks are owned directly or indirectly by Wells Fargo & Company, 420 Montgomery Street, San Francisco, California, 94104, whose shares are publically traded.

F. Process Agent

Wells Fargo Bank, N.A. is a resident of the United States and is authorized to accept service of legal process on behalf of Foreign Banks from the Secretary of the Treasury or the Attorney General of the

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United States pursuant to Section 5318(k) of title 31, United States Code at the following street address:

Wells Fargo Bank, N.A.
420 Montgomery Street
San Francisco, CA 94104
USA

G. General

Foreign Banks hereby agree to notify in writing each Covered Financial Institution at which they maintain any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change. Any Covered Financial Institution using this certification should check the wells Fargo.com web site periodically to obtain updated certification information. Foreign Banks do not undertake to otherwise give notice to any Covered Financial Institution of any change in the certification.

Foreign Banks understand that each Covered Financial Institution at which they maintain a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Banks further understand that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Nicholas R. Piccininni, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Banks.

Nicholas R. Piccininni
Executive Vice President
Corporate BSA Officer
Head of Financial Crimes Risk Management
Wells Fargo & Company

Executed on this 23rd day of March 2021
San Francisco, California, USA

Received and reviewed by:

Name: _____

Title: _____

For: _____ (Name of Covered Financial Institution)

Date: _____

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Attachment 1

FOREIGN BRANCHES, SUBSIDIARIES, AND AFFILIATES THAT MAINTAIN A PHYSICAL PRESENCE IN THE COUNTRY SPECIFIED

Name	Address	Country	Ownership	Governing Authority
Wells Fargo Bank International Unlimited Company – Frankfurt Branch	An der Hauptwache 7, 60313 Frankfurt am Main, Frankfurt, Germany	Germany	Wells Fargo Bank International is a 100% owned, indirect subsidiary of Wells Fargo Bank, N.A., which is ultimately 100% owned directly and indirectly by Wells Fargo & Company	Central Bank of Ireland Bundesanstalt für Finanzdienstleistungs aufsicht Board of Governors of the Federal Reserve System
Wells Fargo Bank International Unlimited Company	2 Harbourmaster Place, International Financial Services Centre, Dublin 1, Ireland	Ireland, Republic of	Wells Fargo Bank International is a 100% owned, indirect subsidiary of Wells Fargo Bank, N.A., which is ultimately 100% owned directly and indirectly by Wells Fargo & Company	Central Bank of Ireland Board of Governors of the Federal Reserve System
Wells Fargo Bank, N.A – Canadian Branch	22 Adelaide Street, West Toronto, Ontario M5H 4E3, Canada	Canada	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Office of the Superintendent of Financial Institutions of Canada Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency

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Name	Address	Country	Ownership	Governing Authority
Wells Fargo Bank, N.A. – Shanghai Branch	Unit 30 32/F Shanghai World Finance Center, 100 Century Avenue Pudong, Shanghai, 200120, People’s Republic of China	People’s Republic of China	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	China Banking Regulatory Commission (CBRC), People’s Bank of China (PBOC) and State Administration of Foreign Exchange (SAFE), China Banking and Insurance Regulatory Commission Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A. – Hong Kong Branch	7/F,12 Taikoo Wan Road, Taikoo Shing Island East, Hong Kong	Hong Kong, Special Administrative Region People’s Republic of China	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Hong Kong Monetary Authority Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A. – Tokyo Branch	Marunouchi Trust Tower Main, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo	Japan	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Services Agency, Japan Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A. – Seoul Branch	D1 D Tower 17, Jongro 3 Gil, Floor 21, Jongno gu, Seoul, 11 031-55, Korea	Korea, Republic of	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Supervisory Service, Korea Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A. – Singapore Branch	138 Market Street, #30-01 CapitaGreen, Singapore 048946	Singapore	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Monetary Authority of Singapore Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency

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Name	Address	Country	Ownership	Governing Authority
Wells Fargo Bank, N.A. – Taipei Branch	44 Chung Shan North Road, Section 2, 17F Taipei, 10448	Taiwan	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Supervisory Commission, Taiwan Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A. – Dubai International Financial Centre (DIFC)	Gate Village 5, Level 1, Office 111, Dubai International Financial Centre, Dubai, 53089, United Arab Emirates	United Arab Emirates	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Dubai Financial Services Authority Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A. – London Branch	33 King William Street, London, LN EC4R 9AT, United Kingdom	United Kingdom	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Financial Conduct Authority Prudential Regulation Authority Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency
Wells Fargo Bank, N.A.– Beijing Branch	Unit 721-723, 7/F, Windland International Finance Centre, No. 7, Finance Street, Xicheng District, Beijing 100033, People’s Republic of China	People’s Republic of China	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	China Banking and Insurance Regulatory Commission Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency

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Attachment 2

FOREIGN BANKS **WITHOUT** A PHYSICAL PRESENCE IN THE COUNTRY SPECIFIED

Name	Address	Country	Ownership	Governing Authority
Wells Fargo Bank, N.A. – Cayman Islands Branch	68 Fort Street P.O. Box 705 Grand Cayman KY1- 1107 Cayman Islands	Cayman Islands, BWI	Wells Fargo Bank, N.A. is ultimately 100% owned, directly and indirectly, by Wells Fargo & Company	Cayman Islands Monetary Authority Board of Governors of the Federal Reserve System Office of the Comptroller of the Currency